

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury
Internal Revenue Service

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

For calendar year 2019 or tax year beginning 7/1/2019 , **and ending** 6/30/2020

Name of foundation 3rd Creek Foundation			A Employer identification number 42-1729283	
Number and street (or P.O. box number if mail is not delivered to street address) PO Box 5406		Room/suite	B Telephone number (see instructions) 775-832-9222	
City or town, state or province, country, and ZIP or foreign postal code Incline Village NV 89450			C If exemption application is pending, check here <input type="checkbox"/>	
Foreign country name		Foreign province/state/county	Foreign postal code	
G Check all that apply:				
<input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change				
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation				
<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation				
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 1,043,625		J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input checked="" type="checkbox"/> Other (specify) <u>Modified Accrual</u> (Part I, column (d), must be on cash basis.)		
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>				

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	19,024			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	14	14		
	4 Dividends and interest from securities	24,908	24,908		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	7,093			
	b Gross sales price for all assets on line 6a <u>223,779</u>				
	7 Capital gain net income (from Part IV, line 2)		2,331		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	1,300	1,300			
12 Total. Add lines 1 through 11	52,339	28,553	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	477			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	4,201			4,201
	22 Printing and publications				
	23 Other expenses (attach schedule)	1,077	62		1,025
	24 Total operating and administrative expenses. Add lines 13 through 23	5,755	62	0	5,226
	25 Contributions, gifts, grants paid	75,790			75,790
26 Total expenses and disbursements. Add lines 24 and 25	81,545	62	0	81,016	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-29,206				
b Net investment income (if negative, enter -0-)		28,491			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash—non-interest-bearing	8,244	22,365	22,365	
	2 Savings and temporary cash investments	17,000	12,000	12,000	
	3 Accounts receivable ▶				
	Less: allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less: allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (attach schedule) ▶				
	Less: allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments—U.S. and state government obligations (attach schedule)				
	b Investments—corporate stock (attach schedule)	937,345	872,780	949,003	
	c Investments—corporate bonds (attach schedule)				
	11 Investments—land, buildings, and equipment: basis ▶				
Less: accumulated depreciation (attach schedule) ▶					
12 Investments—mortgage loans					
13 Investments—other (attach schedule)					
14 Land, buildings, and equipment: basis ▶					
Less: accumulated depreciation (attach schedule) ▶					
15 Other assets (describe ▶ See Attached Statement)	34,349	60,257	60,257		
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	996,938	967,402	1,043,625		
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable	40,000	30,000		
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ▶ Chase Credit Card)	486	156		
	23 Total liabilities (add lines 17 through 22)	40,486	30,156		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29, and 30.				
	24 Net assets without donor restrictions	956,452	937,246		
	25 Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.				
	26 Capital stock, trust principal, or current funds				
	27 Paid-in or capital surplus, or land, bldg., and equipment fund				
	28 Retained earnings, accumulated income, endowment, or other funds				
29 Total net assets or fund balances (see instructions)	956,452	937,246			
30 Total liabilities and net assets/fund balances (see instructions)	996,938	967,402			

Part III Analysis of Changes in Net Assets or Fund Balances			
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)		1	956,452
2 Enter amount from Part I, line 27a		2	-29,206
3 Other increases not included in line 2 (itemize) ▶ Upaya Pool of Recoverable Grants Year 2 Grant Payr		3	10,000
4 Add lines 1, 2, and 3		4	937,246
5 Decreases not included in line 2 (itemize) ▶		5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29		6	937,246

Part IV Capital Gains and Losses for Tax on Investment Income

a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e	Capital Gains Distributions			
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a				
b				
c				
d				
e				2,331
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				2,331
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	2,331
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6); If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8		3	181

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	75,288	1,032,443	0.072922
2017	77,232	1,055,655	0.073160
2016	54,569	998,956	0.054626
2015	83,141	973,722	0.085385
2014	68,038	1,085,964	0.062652
2	Total of line 1, column (d)		2 0.348745
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years		3 0.069749
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5		4 1,025,687
5	Multiply line 4 by line 3		5 71,541
6	Enter 1% of net investment income (1% of Part I, line 27b)		6 285
7	Add lines 5 and 6		7 71,826
8	Enter qualifying distributions from Part XII, line 4		8 141,273

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes sub-rows 6a-6d for credits. Total tax due is 285, with 0 overpayment.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, tax on political expenditures, and substantial contributors. Includes a Yes/No column.

Part VII-A Statements Regarding Activities (continued)

		Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>www.3rdcreekfoundation.org</u>	X	
14	The books are in care of ▶ <u>Dave B Straley</u> Telephone no. ▶ <u>775-832-9222</u> Located at ▶ <u>PO Box 5406 Incline Village NV</u> ZIP+4 ▶ <u>89450</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u>		
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year, did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ▶ <input type="checkbox"/>	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:		Yes	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	X
Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	N/A
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Statement	.00	0		
-----	.00	0		
-----	.00	0		
-----	.00	0		
-----	.00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NA	

2	

3	

4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 WARC Africa - PRI made to support harvest cycle and expansion in Ghana. WARC's mission is to get subsistence farmers in Africa out of poverty. It designs and sells regenerative farming inputs (& paid training) as a service so farmers can access the best available machinery, agricultural practices, and technical advice.	20,000
2 Imara Tech - PRI to support R&D activities to develop solar powered agricultural processing equipment. Imara Tech designs and manufactures multi crop threshers in Tanzania to help smallholder farmers increase their efficiency, and thereby savings.	20,000
All other program-related investments. See instructions.	
3 Smiling Mushroom- support job creation in Vietnam Anza Growth Fund Jibu - support job creation & improved access to clean water in Arusha metro area Whole Forest - Support livelihoods development through sustainable forestry conservation	20,257
Total. Add lines 1 through 3 ▶	60,257

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,010,146
b	Average of monthly cash balances	1b	31,161
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	1,041,307
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,041,307
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	15,620
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,025,687
6	Minimum investment return. Enter 5% of line 5	6	51,284

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	51,284
2a	Tax on investment income for 2019 from Part VI, line 5	2a	285
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	285
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	50,999
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	50,999
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	50,999

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	81,016
b	Program-related investments—total from Part IX-B	1b	60,257
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	141,273
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	285
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	140,988

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				50,999
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only			0	
b Total for prior years: 20 ____, 20 ____, 20 ____				
3 Excess distributions carryover, if any, to 2019:				
a From 2014	16,435			
b From 2015	35,233			
c From 2016	5,069			
d From 2017	24,963			
e From 2018	4,620			
f Total of lines 3a through e	86,320			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ 141,273				
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2019 distributable amount				50,999
e Remaining amount distributed out of corpus	90,274			
5 Excess distributions carryover applied to 2019 . (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	176,594			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)	16,435			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	160,159			
10 Analysis of line 9:				
a Excess from 2015	35,233			
b Excess from 2016	5,069			
c Excess from 2017	24,963			
d Excess from 2018	4,620			
e Excess from 2019	90,274			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4, for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					0
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 **Information Regarding Foundation Managers:**
 - a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
 - b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
- 2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

 - a The name, address, and telephone number or email address of the person to whom applications should be addressed:
 - b The form in which applications should be submitted and information and materials they should include:
 - c Any submission deadlines:
 - d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Medha 47 South St Natick, MA 01760		PC	Support Medha's Meet app voice/text pilot to expand job connection services to persons experiencing extreme poverty	5,000
The Charcoal Project 378 Clinton Street Ste. 1 Brooklyn, NY 11231		PC	Unrestricted grant to support organization's work advancing clean charcoal briquette manufacturing to support job	10,000
SI4DEV 5th Avenue T Close Festac Town Lagos 10213 Nigeria		NC	To support the implementation of the Street Business School entrepreneurial program in 4 communities in Nigeria	10,000
Street Business School 6797 Winchester Circle, Ste 200 Boulder, CO 80301		PC	To support two local NGOs to receive Street Business School Global Catalyst Partner training	15,000
The Charcoal Project 378 Clinton Street Ste. 1 Brooklyn, NY 11231		PC	To support green charcoal briquette producer in Uganda through Covid 19 crisis.	3,000
Street Business School 6797 Winchester Circle, Ste 200 Boulder, CO 80301		PC	To support one local NGO to receive Street Business School training to become a Global Catalyst Partner	5,000
SI4DEV 5th Avenue T Close Festac Town Lagos 10213 Nigeria		NC	To provide micro-grants to women trained by SI4DEV in Street Business School to revive their business post COVID-19 lockdown	4,000
Anza 4 Adrian Close Porthcawl CF36 3LX United Kingdom		NC	To support organization to continue supporting local social enterprise and entrepreneurs in Tanzania during the Covid-19 crisis	5,000
Upaya Social Ventures 500 Yale Ave Suite 202 Seattle, WA 98109		PC	To provide micro-grants to jobholders of social enterprise in India severely affected by the Covid-19 crisis	1,690
Street Business School 6797 Winchester Circle, Ste 200 Boulder, CO 80301		PC	To provide micro-grants to Kampala metro area Street Business School alumni to support emergency household needs	2,000
Village Enterprise 751 Laurel Street, PMB 222 San Carlos, CA 94070		PC	Support digitization process for organization's field program operations and management; to	5,100
Total	See Attached Statement			75,790
b Approved for future payment				
Total				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Table with 5 columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include Program service revenue, Interest from Program Related Investments, Membership dues and assessments, Interest on savings and temporary cash investments, Dividends and interest from securities, Net rental income or (loss) from real estate, Net rental income or (loss) from personal property, Other investment income, Gain or (loss) from sales of assets other than inventory, Net income or (loss) from special events, Gross profit or (loss) from sales of inventory, Other revenue, Subtotal, and Total.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No. and Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

Table with 3 columns: Question, Yes, No. Contains questions 1, a, b, c, d regarding transfers and transactions with noncharitable exempt organizations.

Table with 4 columns: (a) Line no., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge. Signature of officer or trustee Date Title Treasurer

May the IRS discuss this return with the preparer shown below? See instructions. Yes No

Paid Preparer Use Only Print/Type preparer's name Dave B Straley, CPA Preparer's signature Dave B Straley, CPA Date 9/10/2020 Check [X] if self-employed PTIN P00322364 Firm's name 3rd Creek Accounting Firm's EIN 38-3776130 Firm's address PO Box 5406, Incline Village, NV 89450 Phone no. (775) 832-9222

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

Upaya Social Ventures

Street

500 Yale Avenue Suite 202

City

Seattle

State

WA

Zip Code

98109

Foreign Country

Relationship

Foundation Status

PC

Purpose of grant/contribution

Support businesses in India to generate jobs for ultra poor; Pool of recoverable grants

Amount

10,000

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

										Totals:						
										Gross Sales	Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss			
										Capital Gains/Losses		0		2,331		
										Other sales		216,686		4,762		
										221,448						
										Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss		
1	Sale of Publicly Traded Securities				P	7/1/2018	6/30/2020	212,249	216,371					-4,122		
2	Merck MCK				D	12/24/2018	6/30/2020	1,455	0					1,455		
3	Coca Cola KO				D	12/21/2017	6/30/2020	7,744	315					7,429		

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Part I, Line 11 (990-PF) - Other Income

		1,300	1,300	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	Interest Income from PRI (Smiling Mushroom)	71	71	
2	Interest Income from PRI (AGF Jibu)	506	506	
3	Interest Income from MRI (Greenwood)	723	723	

Part I, Line 16c (990-PF) - Other Professional Fees

		0	0	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	Contractor - website development	0			0

Part I, Line 18 (990-PF) - Taxes

		477	0	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Federal Tax on Net Investment Income	0			
2	Tax on investment income	0			
3	Income tax	477			

Part I, Line 23 (990-PF) - Other Expenses

		1,077	62	0	1,025
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Bank Fees (Wire, Paypal & ADR Fees)	277	52		225
2	Investment Expense	10	10		10
3	Licenses and Permits	50	0		50
4	Postage	0	0		
5	Website	390	0		390
6	Dues and Subscriptions	350	0		350
7	Upaya PRG multi-year grant payable	0	0		

Part II, Line 10b (990-PF) - Investments - Corporate Stock

		937,345	872,780	1,021,505	949,003	
Description		Num. Shares/ Face Value	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
1	Marketable Securities		937,345	872,780	1,021,505	949,003

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Part II, Line 15 (990-PF) - Other Assets

		34,349	60,257	60,257
Asset Description		Book Value Beg of Year	Book Value End of Year	FMV End of Year
1	Smiling Mushroom PRI	4,349	1,772	1,772
2	Anza Jibu Loan Receivable	20,000	3,485	3,485
3	Greenwood Loan	10,000	0	
4	Imara Tech PRI	0	20,000	20,000
5	WARC Group PRI	0	20,000	20,000
6	Beneficial Returns Whole Forest Loan Partic PRI	0	15,000	15,000

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Part II, Line 22 (990-PF) - Other Liabilities

		486	156
Description		Beginning Balance	Ending Balance
1	Chase Credit Card	486	156

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

		Amount											
Long Term CG Distributions		2,150											
Short Term CG Distributions		181											
					0	0	0	0	0	0	0	0	0
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F.M.V. as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Losses

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Part VII-A, Line 10 (990-PF) - Substantial Contributors

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country
1	3rd Creek Investments, Inc.	X	999 Driver Way	Incline Village	NV	89451	

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Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

0 0 0

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	Dave B Straley		PO Box 5406	Incline Village	NV	89450		Treasurer	1.00	0		
2	Gwen L Straley		PO Box 5406	Incline Village	NV	89450		President	8.00	0		
3	Erica J Jordan		PO Box 5406	Incline Village	NV	89450		Secretary	1.00	0		
4	Pamela J Straley		PO Box 5406	Incline Village	NV	89450		Director	1.00	0		
5	Zahra Radjavi		PO Box 5406	Incline Village	NV	89450		Director	1.00	0		
6	Chris Zintel		PO Box 5406	Incline Village	NV	89450		Director	1.00	0		
7	Danielle Deccatur		PO Box 5406	Incline Village	NV	89450		Director	1.00	0		

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Part IX-B, Line 3 (990-PF) - Other Program-Related Investments

20,257

	Description 1	Description 2	Description 3	Amount
1	Smiling Mushroom- support job creation in Vietnam	Anza Growth Fund Jibu - support job creation & improved access	Whole Forest - Support livelihoods development through sustain	20,257

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Statements

Part XV Line 3a, Anza Grant, SI4DEV Grant Expenditure Responsibility

Anza Grant FY 2019; Grant Amount: \$5,000; Grant Received: 5/29/2020, Pre-inquiry report from FY 2018 grant & site visit made Jan 2020. No funds diverted.

Anza Grant FY 2018; Grant Amount: \$12,000; Grant Received: 4/5/2019; Pre Grant Inquiry report 11/2018
Grant applied appropriately and no diverted funds. Final report pending.

SI4DEV Grant FY 2019; Grant Amount: \$10,000; Grant Received 11/30/2019; Pre-inquiry report 11/14/2019; Half of grant was diverted from the original grant purpose (entrepreneurial education) to support SI4DEV's response to the COVID 19 crisis in Nigeria. The diversion of \$5,000 was pre-approved by 3rd Creek Foundation, to support community during pandemic onset. No funds were diverted from 3CF-approved charitable purpose.

SI4DEV Grant FY 2019; Grant Amount: \$4,000; Grant Received 5/29/2020; Pre-inquiry report 11/14/2019; Grant applied appropriately and no diverted funds.

Part IX B Line 1, WARC Group; Part IX B Line 2, Imara Tech Expenditure Responsibility

PRI to WARC Group; Due Diligence: 12/2018; Pre-Inquiry Report Date: 6/2020;
PRI Amount: \$20,000; PRI Received: 12/30/2019;
PRI applied appropriately and no diverted funds.

PRI to Imara Tech; Due Diligence & Site Visit: Jan-Mar, 2020; Pre-Inquiry Report Date: 6/2020
PRI Amount: \$20,000; PRI Received: 3/15/2020;
PRI applied appropriately and no diverted funds.

Part IX B Line 3, Smiling Mushroom Expenditure Responsibility

PRI to Smiling Mushroom
Annual report: 11/12/2019
PRI amount: \$10,000; PRI received: 08/10/2017
PRI applied appropriately and no diverted funds. 3rd Creek Foundation approved repayment delay for final 2 installments in 2020 due to Covid-19 pandemic crisis.

Part IX B Line 3, Anza Growth Fund Expenditure Responsibility

PRI to Anza Growth Fund
Annual report pending; Site visit Jan 2020
PRI Amount: \$20,000; PRI received: 10/16/2018
PRI applied appropriately and no diverted funds. 3rd Creek Foundation approved repayment delay for final 2 installments in 2020 due to Covid-19 pandemic crisis.

Part IX B Line 3, Beneficial Returns Whole Forest Loan Participation Expenditure Responsibility

PRI in Beneficial Returns Whole Forest Loan Participation
Pre-grant inquiry: 6/17/2020
PRI Amount: \$15,000; PRI Issued: 6/15/2020
PRI applied appropriately and no diverted funds.